

**APL SCHEME**

Name of Candidate .....

Assessor .....

Date of Assessment .....

UNIT	DESCRIPTION	PERFORMANCE CRITERIA										COMMENTS
Unit (6) Professional Ethics and Business Practice		1	2	3	4	5	6	7	8	9	10	
	<p>(1) The Fundamental Ethical Principles that must be observed in your working environment include:</p> <ul style="list-style-type: none"> <li>• Integrity</li> <li>• Objectivity</li> <li>• Professional and Technical Competence</li> <li>• Due Care</li> <li>• Confidentiality and</li> <li>• Professional Behaviour.</li> </ul> <p>(2) Give examples from your work of how the Principles above apply to you as a Financial Practitioner.</p>											

